

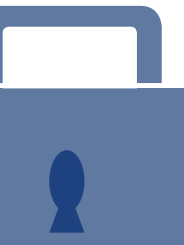


Your Electronic Payments Professionals

Upper Midwest Automated Clearing House Association, Inc.
7100 Northland Circle #407
Brooklyn Park, MN 55428
www.umacha.org

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9th Annual Compliance Symposium

May 7, 2014
Maple Grove, MN

May 8, 2014
Fargo, ND

UMACHA
Your Electronic Payments Professionals



9th Annual Compliance Symposium

ACH Rules Compliance, Audit Requirements, Assessing
Operational Risk, and Battling Cyberthreats

May 7, 2014
Maple Grove, MN
Cambria Suites

May 8, 2014
Fargo, ND
Best Western
Kelly Inn



www.umacha.org

This is a must-attend event to help your institution prepare for its next regulatory exam!

9th Annual Compliance Symposium



The key to a successful regulatory exam and data security program

Plan to attend our ninth annual Compliance Symposium to hear industry updates and network with your peers. This event is a key component as you prepare for your next regulatory exam. AAPs can earn up to 7.2 continuing education credits – Sign up today!

Agenda Topics *(Subject to change)*

Both locations — 9:00am - 4:30 pm (Registration begins at 8:30am)

Unlocking NACHA Rules Compliance and Risk Management - KEY Findings from 2013 Audits

Hear from UMACHA's Compliance Services team about recent ACH audit and risk assessment findings. Common findings from fellow UMACHA members can keep you ahead of the curve as you proceed in 2014.

Third-Party Relationships Panel - Tips to Securely Navigate the Maze of Third-Party Risk

As regulatory scrutiny continues to build in the Third-Party Sender area, how can you take steps to identify if you have a third-party relationship and if you do, how can you prepare for your next regulatory exam?

Corporate Account Takeover (CAT) - Real Life Cases & Lessons Learned

Industry experts will share accounts of CAT cases that have occurred and provide you with insights on what went wrong, how institutions responded and steps you can start taking now to protect your organization.

ACH Data Security - Initial Due Diligence and Enforcing Requirements with Your Originators

Now that the ACH Security Framework Rule is officially part of the ACH audit, hear from a local banker & an industry expert on how to apply the rule in a real-life environment.

Corporate Originator & Third-Party Sender Onboarding - Closing the Circuit of Risk Management Practices

We close out the day's event with a panel discussion on sound business practices for accepting new originators and accounting for various types of risks and rewards new clients may bring to your organization.

Wednesday, May 7 Maple Grove, MN

Cambria Suites

9655 Grove Circle North
Maple Grove, MN 55369

Hotel Information: Call hotel directly at (763) 494-5556 and mention UMACHA for a discounted rate of \$99.00 per night. To receive the discounted rate, reservations must be made by **April 17, 2014**.

Thursday, May 8 Fargo, ND

Best Western Kelly Inn

1767 44th Street South
Fargo, ND 58103

Hotel Information: Call hotel directly at (701) 282-2143 and mention UMACHA for a discounted rate of \$87.99 per night. To receive the discounted rate, reservations must be made by **April 30, 2014**.

Member Price \$275 | Non-Member Price \$550

Compliance Symposium Registration Form

UMACHA
Your Electronic Payments Professionals

To attend the 2014 Compliance Symposium, please complete this registration form and fax it to the UMACHA office at **(763) 549-7004**, or register online at **www.umacha.org** under the Professional Development Course Schedule.

ORGANIZATION NAME _____ CONTACT _____

ADDRESS _____ CITY _____ STATE _____ ZIP _____

ORGANIZATION TYPE ☐ Financial Institution ☐ Processor ☐ Corporation ☐ Vendor ☐ Government ☐ Other

REGISTRANT NAME _____ EMAIL _____ PHONE _____ ☐ UMACHA MEMBER

*If you would like to register multiple attendees, you may do so online at **www.umacha.org**

☐ NON-MEMBER

LOCATION: (CHECK ONE) ☐ Wednesday, May 7, 2014 - Maple Grove, MN ☐ Thursday, May 8, 2014 - Fargo, ND

PAYMENT: (CHECK ONE)

☐ **CHECK AMOUNT \$** _____ Make check payable to UMACHA

If paying by check, make a copy of this Registration Form and mail it along with your check for the total registration fees to:

UMACHA, 7100 Northland Circle #407, Brooklyn Park, MN 55428

☐ **CREDIT CARD PAYMENT* AMOUNT \$** _____

CC # _____ Expiration Date _____

☐ **ACH PAYMENT* AMOUNT \$** _____

ABA # _____ Account # _____ Please circle: **GL** or **DDA**

***AUTHORIZED SIGNATURE (ACH OR CC)** _____

Note: All ACH debits or credit card payments will settle the last week of the month that you register (between the 25th and the last day of the month). It is based on the date of registration and not the date of the session.

Cancellation Policy: There is a \$50 charge for cancellations received within three days of the event; NO refunds the day of the event.

QUESTIONS? Call UMACHA at **(800) 348-3692**

